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my529®

Clear form

For my529 Use Only

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Date Received/Initials ____________________

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Form 700
Entity Limited Power of Attorney Registration

ABOUT THIS FORM

- Use this form to register an entity that may be granted limited power of attorney authority with my529 and receive a LPOA Number.
- To register an individual who may be granted limited power of attorney (LPOA) authority, complete an Individual Limited Power of Attorney Registration form (Form 800) instead of this form.
- my529 cannot and does not provide legal, financial, or tax advice. Accordingly, you should consult your legal advisor if you have questions concerning the consequences of submitting this form.

NEXT STEPS

- To register, you must provide the information for a Master Administrator, who will act on behalf of the entity.
- You only need to submit this form once to my529.
- Upon successful processing of this form, my529 will send the Master Administrator a registration confirmation email. The email will provide instructions on how to view the entity's LPOA Number and set up limited power of attorney online access at my529.org for the Master Administrator and additional persons within the entity.
- To provide additional authorized signers from this entity for verification purposes, submit the Entity Limited Power of Attorney Signature Card (Form 730).
- Provide the LPOA Number to each account owner/agent who grants the entity limited power of attorney using the Entity Limited Power of Attorney Authorization form (Form 710). The number is required to complete Form 710.

SUBMITTING THIS FORM

- Please print clearly—preferably in capital letters, using black or blue ink. To ask questions about completing this form, contact my529 toll-free at 888.529.1886 on business days from 7 a.m. to 5 p.m. MT.
- Return this form to: my529, PO Box 145100, Salt Lake City, UT 84114-5100. For delivery by overnight carrier, send to: my529, Board of Higher Education Building, Gateway 2, 60 South 400 West, Salt Lake City, UT 84101-1284. You may also fax this form to 888.529.9197.

1 Entity Information (Required)

Check the box that applies to the entity that may be granted LPOA authority: ☐ **Financial Advisor Firm** ☐ **Law Firm** ☐ **Accounting Firm** ☐ **Other**

Entity's Name

Entity's Employer Identification Number, U.S. Social Security Number, or Taxpayer Identification Number

Entity's CRD Number

Entity's SEC Number (if applicable)

2 Entity's Master Administrator Information (Required)

Name of the Entity's Master Administrator (Last, First)

Title

Mailing Address (if a PO Box, a physical address must also be provided)

Physical Address (if different from mailing address)

City | State | ZIP Code

City | State | ZIP Code

Primary Phone

Alternate Phone

Email Address

Continued>>

3 Master Administrator's Signature Authorization (Required)

- *By signing below, I certify and agree to register the named entity that may be granted limited power of attorney authority for designated my529 accounts as specified in the Entity Limited Power of Attorney Authorization form(s) (Form 710).*
- *I certify that I have the power and authority to sign this application on behalf of the entity.*
- *I understand that the entity must make it clear to account owners that they are setting up accounts with my529. Disclosures from the entity during enrollment must clearly state that account owners are establishing their accounts with my529.*
- *I certify that account owners are provided information to differentiate balances and transactions between 529 plans when the entity is providing financial details. Any statements or financial details provided by the entity will make it clear that the investment units and value are my529 units. my529 reserves the right to review statements or financial details upon request.*
- *I understand that this form does not impose a duty on the named entity to exercise any granted limited power of attorney authority; however, when the entity exercises such authority, the entity will be responsible for any resulting consequences of such actions, must use due care to act for the account owner/ agent's benefit in accordance with the limited power of attorney authorization, and will be responsible for maintaining records relating to such actions.*
- *my529 newsletters, statements, tax documents, promotions, inquiries and confirmations will be sent to account owners by my529. I understand that the entity and its authorized signers cannot alter text or change delivery of these documents or notifications to account owners. I understand that the entity will not have the ability to "opt-out" of delivery of these documents and notifications.*
- *I certify that the entity has implemented measures for Anti-Money Laundering / Know Your Customer (AML/KYC) and that my529 has a right to confirm that these measures are taking place.*
- *The above-named entity will indemnify and hold harmless my529, the State of Utah, the Utah Board of Higher Education and the Utah Education Savings Board of Trustees and their officials, employees and agents from and against any and all liability, claims, losses, costs and legal fees caused by, arising out of or resulting from acting upon instructions believed by any of them to have originated from any employee, agent or representative of the above-named entity.*
- *The my529 logo and my529 copyrighted materials cannot be used or displayed without written consent from my529. The term "powered by" cannot be used to promote the relationship. The Limited Power of Attorney relationship is not a sales contract or partnership. my529 does not pay commissions, loads or sales charges to entity firms or financial advisors, nor does it endorse financial advisors or their firms.*
- *my529 does not charge fees to open accounts. There are no minimum balance requirements and no initial contribution requirement. If the entity elects to impose minimum requirements, disclosures from the entity during enrollment must clearly state if account owners are being required to establish accounts with minimum balance or transaction requirements. The disclosures must also clarify that these requirements are not my529 requirements. Rather, requirements to do business with the entity.*
- *I understand that only account owners are authorized to initiate and perform rollovers, ownership and beneficiary changes, and address changes or other demographic changes using my529 forms and processes. These transactions cannot be authorized or performed using the Limited Power of Attorney relationship.*
- *my529 reserves the right to charge the entity for costs that are above and beyond incidental expenses for doing business.*
- *I understand that the signature provided below will be used to validate certain transactions.*
- *I agree to notify my529 within 30 days in the event of a name change, change of legal entity, sale, or merger of the named entity.*
- *my529 reserves the right to revoke the Limited Power of Attorney relationship at its sole discretion.*

Required

Signature of the Entity's Master Administrator	Name of the Entity's Master Administrator (please print)	Date (mm/dd/yyyy)

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